



## Does CVE-2022-38023 have any impact to ONTAP 9?

[https://kb-stage.netapp.com/on-prem/ontap/da/NAS/NAS-KBs/Does\\_CVE-2022-38023\\_have\\_any\\_imp...](https://kb-stage.netapp.com/on-prem/ontap/da/NAS/NAS-KBs/Does_CVE-2022-38023_have_any_imp...)

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### Applies to

- ONTAP 9
- FSX
- Cloud Volumes ONTAP (CVO)
- SMB/CIFS
- Netlogon (NTLM Authentication)
- CVE-2022-38023 - Netlogon RPC Elevation of Privilege Vulnerability

### Answer

1. ONTAP features configured for domain authentication using NTLMv1 or NTLMv2 e.g. CIFS, Vscan, RBAC, domain tunnel, etc. are affected:

```

::> set advanced
::*> vserver cifs session show -vserver <vserver> -fields auth-
mechanism,address,windows-user
node          vserver    session-id      connection-id
address       auth-mechanism windows-user
-----
netapp-01a    <vserver> 17134789207261194186 2550496605 10.62.125.
88 NTLMv2      DEMO\user6
netapp-01b    <vserver> 17134789207261194188 2550496606 10.216.29.
42 Kerberos   DEMO\Administrator
2 entries were displayed.

```

**Note:** If Kerberos authentication attempt fails, NTLM (NTLMv1 or NTLMv2) is default fallback.

- Impact: All CIFS Domain authentication using NTLM will fail post DC server patch upgrade: [CONTAP-80033: NTLM authentication fails due to enforcement of Netlogon RPC sealing](#)
- Actions as per [SU530](#) are required before [June 13, 2023 - "Enforcement by Default" phase](#) when Microsoft's CVE-2022-38023 patches are installed

### How do the phases impact ONTAP?

Microsoft Phases	What changed	How did this impact ONTAP 9?	What options do I have?
July 2023 - Enforcement Phase	The Windows updates released on July 11, 2023 will remove the ability to set <b>RequireSeal:1</b> <b>RequireSeal</b> is forced to be to <b>2</b> , contents of the registry value are ignored.	<ul style="list-style-type: none"> <li>All NTLM authentication will fail unless you upgrade to a fixed version of <a href="#">CONTAP-80033: NTLM authentication fails due to enforcement of Netlogon RPC sealing</a></li> <li>See below: <b>How ONTAP is impacted once RequireSeal:2 is set</b></li> </ul>	<ul style="list-style-type: none"> <li>Upgrade to a fixed version of <a href="#">CONTAP-80033: NTLM authentication fails due to enforcement of Netlogon RPC sealing</a></li> <li>Ensuring clients utilize Kerberos authentication will avoid dependency on Netlogon/NTLM domain authentication</li> </ul>

### ONTAP impact once RequireSeal:1 is set:

- [CONTAP-80033: NTLM authentication fails due to enforcement of Netlogon RPC sealing](#)
- Domain Controller may record following event ID 5838 as **Warning**

### ONTAP impact once RequireSeal:2 is set:

- If ONTAP is [unpatched](#), when SVM attempts NTLM authentication, the patched domain controller will return Access Denied causing the request to fail
- EMS [Syslog Translator for secd.cifsAuth.problem](#) including the entry:

```
FAILURE: Pass-through authentication failed. (NT Status: NT_STATUS_NO_LOGON_SERVERS(0xc000005e))
```

- EMS also indicate below event to indicate no DC's available.

```
secd.netlogon.noServers: None of the Netlogon servers configured for Vserver (vs1) are currently accessible via the network.
```

- Domain Controller may record following event ID 5838 as **Error**.

```
Log Name: System
Source: NETLOGON
Date: 2/22/2023 3:17:28 PM
Event ID: 5838
Task Category: None
Level: Error
Keywords: Classic
User: N/A
Computer: dc1.demo.netapp.local
Description:
The Netlogon service encountered a client using RPC signing instead of RPC sealing.

Machine SamAccountName: CIFSSERVERNAME
```

### Additional Information

- We can run below command on Domain Controller to check for event ID 5838.

```
>Get-WinEvent -LogName "System" | Where-Object -Property Id -eq 5838
```

- [How to workaround impact seen after applying CVE-2022-38023 on domain controllers after June 13 2023.](#)

- [How to manually configure RequireSeal to Compatibility Mode on a Windows domain controller.](#)
- CVE-2022-38023 NetApp context:
  - [SU530: \[Impact Critical\] NTLM authentication fails due to enforcement of Netlogon RPC sealing \(Microsoft CVE-2022-38023\)](#)
    - [NTLM still fails despite setting RequireSeal:1 on DCs for CVE-2022-38023](#)
  - [Does CVE-2022-38023 have any impact to Data ONTAP 7-Model](#)
  - [December 2022 Samba Vulnerabilities in NetApp Products](#)
- Helpful commands to identify CIFS authentication styles:
  - [Client authentication mechanism in use: vserver cifs session show \(netapp.com\)](#)
  - [Auth Style and Domain: vserver cifs show \(netapp.com\)](#)
  - [List discovered DCs: server cifs domain discovered-servers show](#)
- ONTAP user (RBAC) authentication:
  - [security login show \(netapp.com\)](#)
- CIFS / SMB client authentication:
  - [How ONTAP handles SMB client authentication \(netapp.com\)](#)
  - [How does an SMB client identify which authentication style to use?](#)
- Kerberos:
  - [Check Kerberos settings: vserver cifs security show \(netapp.com\)](#)
  - [ONTAP support for Kerberos](#)
  - [ONTAP Requirements for CIFS Kerberos](#)
  - [Modify the CIFS server Kerberos security settings](#)
  - [What Kerberos Encryption Types are supported with NAS protocols for ONTAP 9](#)